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Group 2700

PATENT
Attorney Docket No. NK-067

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PTC 2700 MAIL ROOM

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Wilcox et al.
SERIAL NO.: 09/464,264 ✓ GROUP NO.: 2721
FILING DATE: December 17, 1999 ✓ EXAMINER: Not Yet Assigned
TITLE: ELECTRONIC INK DISPLAY MEDIA FOR SECURITY AND AUTHENTICATION

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on this 6 day of June, 2000.

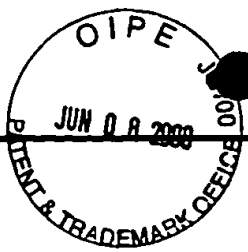
Kimberly A. Terrib

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

- (1) Transmittal Form (1 page);
- (2) Information Disclosure Statement (2 pages);
- (3) PTO Form 1449 (8 pages);
- (4) References A1-A86, B1-B74, and C1-C34;
- (5) return receipt postcard.



TRANSMITTAL FORM

| | |
|---------------------------|-------------------|
| Application Serial Number | 09/464,264 |
| Filing Date | December 17, 1999 |
| First Named Inventor | Wilcox |
| Group Art Unit | 2721 |
| Examiner Name | Not Yet Assigned |
| Attorney Docket No. | INK-067 (2108/56) |

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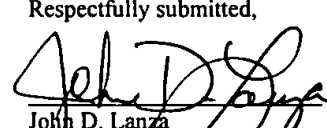
ENCLOSURES (check all that apply)

- | | | |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____] <input type="checkbox"/> Extension of Time Request <input checked="" type="checkbox"/> Information Disclosure Statement Form PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations A1-A86; B1-B74; C1-C34 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund <input type="checkbox"/> After Allowance Communication to Group | <input type="checkbox"/> Appeal Communication to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) (please identify below) |
|---|---|---|

CORRESPONDENCE ADDRESS

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Respectfully submitted,

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PATENT
Attorney Docket No. INK-067

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Wilcox et al.
SERIAL NO.: 09/464,264 GROUP NO.: 2721
FILING DATE: December 17, 1999 EXAMINER: Not Yet Assigned
TITLE: ELECTRONIC INK DISPLAY MEDIA FOR SECURITY
AND AUTHENTICATION

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. §1.97, Applicants hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the references are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **Filing Date** or before the mailing date of the **First Office Action** on the merits; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **Final Rejection** or **Notice of Allowance**, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under Rule 1.17(p), namely **\$240.00**, is included herein, or
- ☐ (3) after the mailing date of a **Final Rejection** or **Notice of Allowance** but before the payment of the **Issue Fee**, **AND**
- ☐ Applicant hereby Petitions the Commissioner to accept and consider the attached Information Disclosure Statement, **AND**
- ☐ the requisite Statement is below, **AND**

☐ the requisite petition fee due under Rule 1.17(i)(I), namely \$130.00 is included herein.

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application.

STATEMENT

As required under §1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- ☐ 1. [E]ach item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application **not more than** three months prior to the filing date of the Information Disclosure Statement; or
- ☐ 2. [N]o item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any** individual designated in §1.56(c) **more than** three months prior to the filing of the Information Disclosure Statement.

NOTIFICATION OF APPLICATIONS

Applicants would like to bring to the U.S. Patent Office's attention the following co-pending patent applications.

| <u>Serial Number</u> | <u>Filed on</u> |
|----------------------|--------------------|
| U.S.S.N. 08/504,896 | July 20, 1995 |
| U.S.S.N. 08/983,404 | July 19, 1996 |
| U.S.S.N. 60/022,222 | July 19, 1996 |
| U.S.S.N. 60/035,622 | September 24, 1996 |
| U.S.S.N. 08/820,057 | March 18, 1997 |
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| U.S.S.N. 60/057,118 | August 28, 1997 |

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| U.S.S.N. 60/059,543 | September 19, 1997 |
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| U.S.S.N. 60/078,363 | March 18, 1998 |
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| U.S.S.N. 60/085,096 | May 12, 1998 |
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| U.S.S.N. 60/105,024 | October 20, 1998 |
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| U.S.S.N. 60/113,078 | December 21, 1998 |
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| U.S.S.N. 60/118,794 | February 4, 1999 |
| U.S.S.N. 60/119,393 | February 10, 1999 |
| U.S.S.N. 60/122,068 | March 1, 1999 |
| U.S.S.N. 09/272,716 | March 18, 1999 |
| U.S.S.N. 60/127,965 | April 6, 1999 |
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| U.S.S.N. 09/289,036 | April 9, 1999 |
| U.S.S.N. 60/131,790 | April 30, 1999 |
| U.S.S.N. 60/132,178 | May 3, 1999 |
| U.S.S.N. 60/132,303 | May 3, 1999 |
| U.S.S.N. 60/132,642 | May 5, 1999 |
| U.S.S.N. 60/132,643 | May 5, 1999 |
| U.S.S.N. 60/134,245 | May 13, 1999 |
| U.S.S.N. 60/142,003 | July 1, 1999 |
| U.S.S.N. 60/144,952 | July 21, 1999 |

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| U.S.S.N. 60/144,911 | July 21, 1999 |
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| U.S.S.N. 60/044,943 | July 21, 1999 |
| U.S.S.N. 60/147,994 | August 10, 1999 |
| U.S.S.N. 60/147,989 | August 10, 1999 |
| U.S.S.N. 60/147,993 | August 10, 1999 |
| U.S.S.N. 60/151,717 | August 31, 1999 |
| U.S.S.N. 60/151,547 | August 31, 1999 |
| U.S.S.N. 60/151,716 | August 31, 1999 |
| U.S.S.N. 60/151,715 | August 31, 1999 |
| U.S.S.N. 60/151,549 | August 31, 1999 |
| U.S.S.N. 09/413,009 | October 6, 1999 |
| U.S.S.N. 09/413,444 | October 6, 1999 |
| U.S.S.N. 09/413,934 | October 7, 1999 |
| U.S.S.N. 09/423,343 | November 2, 1999 |
| U.S.S.N. 09/461,463 | December 14, 1999 |
| U.S.S.N. 09/460,090 | December 14, 1999 |

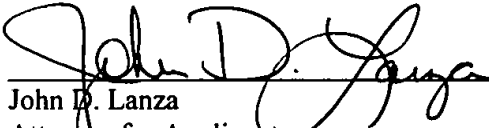
FEE AUTHORIZATION

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 20-0531. Any overpayments should be credited to said Deposit Account.

Date: June 6, 2000
Reg. No. 40,060

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